



Attorney Docket No: NTWK012/00US

PATENT

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

System and Method for Providing Expandable Proxy Firewall Services

the specification of which:

(check one)

☐ is attached hereto;

☒ [X] was filed as United States Application Serial No. 09/774,001 on January 31, 2001, and was amended on _____ (if applicable);

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information which is known to me to be material to the patentability of said invention in accordance with 37 C.F.R. §1.56;

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

_____ (Application Number)	_____ (Filing Date) (day, month, year)
_____ (Application Number)	_____ (Filing Date) (day, month, year)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: William E. Duncan

Inventor's signature William E. Duncan Date 3/9/01

Residence: 1039 N. Market Street, Frederick, MD 21701

Citizen of: United States

Post Office Address: 1039 N. Market Street, Frederick, MD 21701

Full name of second inventor: Vincent Hwang

Inventor's signature Vincent Hwang Date 3/9/01

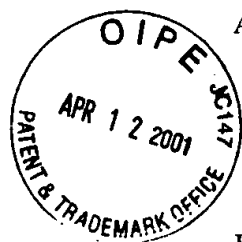
Residence: 11501 Swains Lock Terrace, Potomac, MD 20854

Citizen of: United States

Post Office Address: 11501 Swains Lock Terrace, Potomac, MD 20854

Attorney Docket No: NTK-012/00US

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of William E. Duncan et al.

Serial No.: 09/774,001

Examiner: Unassigned

Filed: January 31, 2001

Art Unit: Unassigned

For: SYSTEM AND METHOD FOR PROVIDING EXPANDABLE PROXY
FIREWALL SERVICES

Commissioner for Patents
Washington, D.C. 20231

**POWER TO PROSECUTE BY ASSIGNEE
AND STATEMENT UNDER 37 C.F.R. §3.73(b)**

As the assignee of record of the entire right, title, and interest in the above-identified application, Christopher Hamaty, Reg. No. 37,634, and the registered practitioners of Cooley Godward LLP included in the Customer Number provided below are hereby granted Power to prosecute this application and any applications claiming priority to this application, and to transact all business in the U.S. Patent and Trademark Office connected therewith.

The assignee certifies that to the best of its knowledge and belief it is the owner of the entire right, title, and interest in and to the above-identified application as evidenced by:

☒ An assignment document, a copy of which is enclosed herewith;

☐ An assignment previously recorded in the U.S. Patent and Trademark Office at Reel _____, Frame _____.

Please direct all telephone calls and correspondence to:

COOLEY GODWARD LLP
Attention: Patent Group
One Freedom Square - Reston Town Center
11951 Freedom Drive
Reston, Virginia 20190-5601
Tel: (703) 456-8000
Fax: (703) 456-8100

CUSTOMER NUMBER: **022903**

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date: 4-9-01

Signature: Kent H. Roberts as Vice Pres - Legal

Name: Kent H. Roberts

Title: Vice President of Legal Affairs

Company: Networks Associates Technology, Inc.



JOINT ASSIGNMENT

WHEREAS, WE, William E. Duncan and Vincent Hwang, (hereinafter "ASSIGNORS"), citizens of the United States, respectively, residing at 1039 N. Market Street, Frederick, MD 21701 and 11501 Swains Lock Terrace, Potomac, MD 20854, respectively; are the inventors of the invention in SYSTEM AND METHOD FOR PROVIDING EXPANDABLE PROXY FIREWALL SERVICES for which we have executed an application for a Patent of the United States

which is executed on even date herewith

- ☒ which is identified by Cooley Godward LLP attorney docket no. NTWK-012/00US
- ☒ which was filed on January 31, 2001, Application No. 09/774,001

and WHEREAS, Networks Associates Technology, Inc. (hereinafter "ASSIGNEE"), a Delaware Corporation having a business address at 3965 Freedom Circle, Santa Clara CA 95054, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Director of the United States Patent and Trademark Office, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have the full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries. In the event that one or more of the above inventors is not an employee of ASSIGNEE at the time such aid is required, they agree to render such aid in return for an hourly rate of pay no greater than twice their equivalent regular hourly pay as it stood on the date of their departure from ASSIGNEE.

COPY

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 3/12, 2001

William E. Duncan
William E. Duncan

In the State of MARYLAND, county of MONTGOMERY, on 3/12/01, before me, Ginger L. Rungler, Notary Public, personally appeared William E. Duncan, personally known to me/proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Ginger L. Rungler
My commission expires 01/01/05

Notary Public

Date 3/12, 2001

Vincent Hwang
Vincent Hwang

In the State of MARYLAND, county of MONTGOMERY, on 3/12/01, before me, Ginger L. Rungler, Notary Public, personally appeared Vincent Hwang, personally known to me/proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Ginger L. Rungler
My commission expires 01/01/05

Notary Public

COPY